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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/633,876

Filing Date

8/4/2003

First Named Inventor

Ron L. Hale

Art Unit

Not yet known

Examiner Name

To be assigned

Attorney Docket Number

00060.01R

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Alter Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		
Info. Disc. Statement Form 1449 (3 copies, 20 pages each) Copy of 540 references Return Postcard		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or individual name	ALEXZA MDC, 1001 East Meadow Circle, Palo Alto, CA 94303 Elaine C. Stracker, Ph.D., J.D.	Customer Number 37485 Telephone (650)687-3905
Signature		
Date	SEP. 24 2004	

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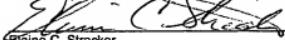
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Elaine C. Stracker



## PATENT

Attorney's Docket No.: 00060.01R

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hale et al.  
Serial No.: 10/633,876  
Filed: 8/4/2003  
Title RAPID-HEATING DRUG DELIVERY ARTICLE AND METHOD OF USE

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with their continuing duty of disclosure under 37 CFR §§ §1.97, 1.98 and 1.56, Applicants hereby submit for consideration in the above-identified application the enclosed references. The Information Disclosure statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

For the convenience of the Examiner, the references are listed on a PTO Form 1449 (attached) and copies thereof are enclosed. Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MH/

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines than an extension and/or other relief is required, Applicants petition for any required relief including any extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 502731**. Please direct all correspondence to the following customer number.

Respectfully submitted,

Date: SEP. 24 2004



Elaine C. Stracker J.D., Ph.D., Reg. 43,166

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FAX: (650) 687-3999

Form PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: 00060.01R

Application Number: 10/633,876

Applicant:

HALE et al.

Filing Date: 8/4/2003

Group Art Unit:

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	02/04/1941	2,230,753	Klavehn et al.			
	2.	02/04/1941	2,230,754	Klavehn et al.			
	3.	09/01/1959	2,902,484	Horclois			
	4.	01/05/1965	3,164,600	Janssen et al.			
	5.	02/09/1965	3,169,095	Thiel et al.			
	6.	11/23/1965	3,219,533	Mullins			
	7.	11/01/1966	3,282,729	Richardson et al.			
	8.	01/03/1967	3,296,249	Bell			
	*9.	01/17/1967	3,299,185	Oda et al.			
	10.	02/27/1968	3,371,085	Reeder et al.			
	11.	07/16/1968	3,393,197	Pachter			
	12.	03/18/1969	3,433,791	Bentley et al.			
	13.	02/02/1971	3,560,607	Hailly			
	14.	10/31/1972	3,701,782	Hester			
	15.	08/27/1974	3,831,606	Damani			
	16.	02/04/1975	3,864,326	Babington			
	17.	07/08/1975	3,894,040	Buzby, Jr.			
	18.	09/30/1975	3,909,463	Hartman			
	19.	03/16/1976	3,943,941	Boyd et al.			
	20.	07/27/1976	3,971,377	Damani			
	21.	09/21/1976	3,982,095	Robinson			
	22.	10/19/1976	3,987,052	Hester, Jr.			
	23.	02/22/1977	4,008,723	Borthwick et al.			
	24.	08/30/1977	4,045,156	Chu et al.			
	25.	03/21/1978	4,079,742	Rainer et al.			
	26.	08/01/1978	4,104,210	Coran et al.			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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Group Art Unit:

27.	10/24/1978	4,121,583	Chen			
28.	02/27/1979	4,141,369	Burruss			
29.	07/10/1979	4,160,765	Weinstock			
30.	08/28/1979	4,166,087	Cline, et al.			
31.	01/15/1980	4,183,912	Rosenthal			
32.	02/26/1980	4,190,654	Gherardi et al.			
33.	04/15/1980	4,198,200	Fonda et al.			
34.	05/27/1980	Re. 30,285	Babington			
35.	08/26/1980	4,219,031	Rainer et al.			
36.	10/21/1980	4,229,447	Porter			
37.	10/28/1980	4,229,931	Schlueter et al.			
38.	11/04/1980	4,232,002	Nogrady			
39.	12/02/1980	4,236,544	Osaka			
40.	02/17/1981	4,251,525	Weinstock			
41.	07/28/1981	4,280,629	Slaughter			
42.	08/18/1981	4,284,089	Ray			
43.	09/01/1981	4,286,604	Ehretsmann et al.			
44.	12/01/1981	4,303,083	Burruss, Jr.			
45.	07/20/1982	4,340,072	Bolt et al.			
46.	09/07/1982	4,347,855	Lanzillotti et al.			
47.	03/15/1983	4,376,767	Sloan			
48.	07/05/1983	4,391,285	Burnett et al.			
49.	12/27/1983	4,423,071	Chignac et al.			
50.	10/02/1984	4,474,191	Steiner			
51.	04/02/1985	4,508,726	Coleman			
52.	06/18/1985	4,523,589	Krauser			
53.	01/28/1986	4,566,451	Badewien			
54.	05/13/1986	4,588,425	Usry et al.			
55.	05/13/1986	4,588,721	Mahan			
56.	05/27/1986	4,591,615	Aldred et al.			
57.	08/12/1986	4,605,552	Fritschi			

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58.	03/31/1987	4,654,370	Marriott, III et al.			
59.	07/28/1987	4,683,231	Glassman			
60.	09/15/1987	4,693,868	Katsuda et al.			
61.	11/24/1987	4,708,151	Shelar			
62.	12/22/1987	4,714,082	Banerjee et al.			
63.	03/29/1988	4,734,560	Bowen			
64.	04/05/1988	4,735,217	Gerth et al.			
65.	04/15/1988	4,735,358	Osamo et al.			
66.	06/28/1988	4,753,758	Miller			
67.	07/05/1988	4,755,508	Bock et al.			
68.	07/12/1988	4,756,318	Clearman et al.			
69.	08/23/1988	4,765,347	Sensabaugh, Jr. et al.			
70.	09/20/1988	4,771,795	White et al.			
71.	10/04/1988	4,774,971	Vieten			
72.	12/27/1988	4,793,365	Sensabaugh, Jr. et al.			
73.	12/27/1988	4,793,366	Hill			
74.	01/31/1989	4,800,903	Ray et al.			
75.	01/31/1989	4,801,411	Wellinghoff et al.			
76.	03/21/1989	4,814,161	Jinks et al.			
77.	04/11/1989	4,819,665	Roberts et al.			
78.	07/18/1989	4,848,374	Chard et al.			
79.	08/01/1989	4,852,561	Sperry			
80.	08/01/1989	4,853,517	Bowen et al.			
81.	08/08/1989	4,854,331	Banerjee et al.			
82.	08/22/1989	4,858,630	Banerjee et al.			
83.	09/05/1989	4,863,720	Burghart et al.			
84.	11/21/1989	4,881,541	Eger et al.			
85.	11/21/1989	4,881,556	Clearman et al.			
86.	12/26/1989	4,889,850	Thornfeldt et al.			
87.	01/23/1990	4,895,719	Radhakrishnun et al.			
88.	03/06/1990	4,906,417	Gentry			

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89.	04/17/1990	4,917,119	Potter et al.			
90.	04/17/1990	4,917,120	Hill			
91.	04/17/1990	4,917,830	Ortiz et al.			
92.	05/08/1990	4,922,901	Brooks et al.			
93.	05/29/1990	4,928,714	Shannon			
94.	07/17/1990	4,941,483	Ridings et al.			
95.	08/14/1990	4,947,874	Brooks et al.			
96.	08/14/1990	4,947,875	Brooks et al.			
97.	08/21/1990	4,950,664	Goldberg			
98.	09/11/1990	4,955,945	Weick			
99.	09/25/1990	4,959,380	Wilson			
100.	10/16/1990	4,963,289	Ortiz et al.			
101.	02/05/1991	4,989,619	Clearman et al.			
102.	05/21/1991	5,016,425	Weick			
103.	05/21/1991	5,017,575	Golwyn			
104.	05/28/1991	5,019,122	Clearman et al.			
105.	06/04/1991	5,020,548	Farrer et al.			
106.	07/02/1991	5,027,836	Shannon et al.			
107.	07/23/1991	5,033,483	Clearman et al.			
108.	08/27/1991	5,042,509	Banerjee et al.			
109.	09/17/1991	5,049,389	Radhakrishnun			
110.	10/29/1991	5,060,666	Clearman et al.			
111.	10/29/1991	5,060,667	Strubel			
112.	10/29/1991	5,060,671	Counts et al.			
113.	11/26/1991	5,067,499	Banerjee et al.			
114.	12/17/1991	5,072,726	Mazloomdoost et al.			
115.	12/31/1991	5,076,292	Sensabaugh, Jr. et al.			
116.	03/31/1992	5,099,861	Clearman et al.			
117.	04/21/1992	5,105,831	Banerjee et al.			
118.	05/12/1992	5,112,598	Biesalski			
119.	06/02/1992	5,118,494	Schultz et al.			

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120.	06/09/1992	5,119,834	Shannon et al.			
121.	06/30/1992	5,126,123	Johnson			
122.	07/28/1992	5,133,368	Neumann et al.			
123.	08/11/1992	5,137,034	Perfetti et al.			
124.	10/20/1992	5,156,170	Clearman et al.			
125.	11/17/1992	5,164,740	Ivri			
126.	11/24/1992	5,166,202	Schweizer			
127.	12/01/1992	5,167,242	Turner et al.			
128.	01/05/1993	5,177,071	Freidinger et al.			
129.	02/16/1993	5,186,164	Raghuprasad			
130.	03/09/1993	5,192,548	Velasquez et al.			
131.	07/06/1993	5,224,498	Deevi et al.			
132.	07/20/1993	5,229,120	DeVincent			
133.	07/20/1993	5,229,382	Chakrabarti et al.			
134.	08/31/1993	5,240,922	O'Neill			
135.	10/05/1993	5,249,586	Morgan et al.			
136.	11/23/1993	5,264,433	Sato et al.			
137.	02/08/1994	5,284,133	Burns et al.			
138.	03/08/1994	5,292,499	Evans et al.			
139.	07/26/1994	5,333,106	Lanpher et al.			
140.	09/13/1994	5,345,951	Serrano et al.			
141.	11/15/1994	5,364,838	Rubsamen			
142.	11/22/1994	5,366,770	Wang			
143.	12/27/1994	5,376,386	Ganderton et al.			
144.	02/14/1995	5,388,574	Ingebrethsen			
145.	02/21/1995	5,391,081	Lampotang et al.			
146.	03/21/1995	5,399,574	Robertson et al.			
147.	03/28/1995	5,400,808	Turner et al.			
148.	07/25/1995	5,436,230	Soudant, et al.			
149.	09/19/1995	5,451,408	Mezei et al.			
150.	10/03/1995	5,455,043	Fischel-Ghodsian			

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HALE et al.

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Group Art Unit:

151.	10/10/1995	5,456,247	Shilling et al.			
152.	10/10/1995	5,456,677	Spector			
153.	10/10/1995	5,457,100	Daniel			
154.	10/10/1995	5,457,101	Greenwood et al.			
155.	10/17/1995	5,459,137	Andrasí et al.			
156.	10/31/1995	5,462,740	Evenstad et al.			
157.	11/21/1995	5,468,936	Deevi et al.			
158.	03/26/1996	5,501,236	Hill et al.			
159.	04/16/1996	5,507,277	Rubsamen et al.			
160.	04/30/1996	5,511,726	Greenspan et al.			
161.	05/21/1996	5,519,019	Andrasí et al.			
162.	06/11/1996	5,525,329	Snyder, et al.			
163.	07/30/1996	5,540,959	Wang			
164.	08/06/1996	5,543,434	Weg			
165.	08/13/1996	5,544,646	Lloyd et al.			
166.	12/17/1996	5,584,701	Lampotang et al.			
167.	12/24/1996	5,586,550	Ivri et al.			
168.	01/14/1997	5,592,934	Thwaites			
169.	02/25/1997	5,605,146	Sarela			
170.	02/25/1997	5,605,897	Beasley, Jr. et al.			
171.	03/04/1997	5,607,691	Hale et al.			
172.	04/15/1997	5,619,984	Hodson et al.			
173.	04/22/1997	5,622,944	Hale et al.			
174.	05/06/1997	5,627,178	Chakrabarti et al.			
175.	07/22/1997	5,649,554	Sprinkel			
176.	08/12/1997	5,655,523	Hodson et al.			
177.	08/12/1997	5,656,255	Jones			
178.	08/26/1997	5,660,166	Lloyd et al.			
179.	09/16/1997	5,666,977	Higgins et al.			
180.	11/25/1997	5,690,809	Subramaniam et al.			
181.	12/09/1997	5,694,919	Rubsamen et al.			

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182.	02/17/1998	5,718,222	Lloyd et al.			
183.	03/10/1998	5,724,957	Rubsamen et al.			
184.	03/10/1998	5,725,756	Subramaniam et al.			
185.	03/31/1998	5,733,572	Unger et al.			
186.	04/07/1998	5,735,263	Rubsamen et al.			
187.	04/14/1998	5,738,865	Baichwal et al.			
188.	04/14/1998	5,738,865	Baichwal et al.			
189.	04/28/1998	5,743,250	Gonda et al.			
190.	04/28/1998	5,743,251	Howell et al.			
191.	04/28/1998	5,744,469	Tran			
192.	05/05/1998	5,747,001	Wiedmann et al.			
193.	05/26/1998	5,756,449	Andersen et al.			
194.	06/02/1998	5,758,637	Ivri et al.			
195.	06/16/1998	5,767,117	Moskowitz et al.			
196.	06/23/1998	5,770,222	Unger et al.			
197.	06/30/1998	5,771,882	Psaros et al.			
198.	07/07/1998	5,776,928	Beasley, Jr.			
199.	09/08/1998	5,804,212	Illum			
200.	10/06/1998	5,817,656	Beasley, Jr. et al.			
201.	10/13/1998	5,819,756	Mielordt			
202.	10/20/1998	5,823,178	Lloyd et al.			
203.	11/10/1998	5,833,891	Subramaniam et al.			
204.	11/24/1998	5,840,246	Hammons et al.			
205.	01/05/1999	5,855,564	Ruskewicz			
206.	01/05/1999	5,855,913	Hanes et al.			
207.	02/23/1999	5,874,064	Edwards et al.			
208.	03/02/1999	5,875,776	Vaghefi			
209.	03/09/1999	5,878,752	Adams et al.			
210.	03/23/1999	5,884,620	Gonda et al.			
211.	04/06/1999	5,890,908	Lampotang et al.			
212.	04/20/1999	5,894,841	Voges			

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Group Art Unit:

	213.	05/25/1999	5,906,811	Hersh			
	214.	05/25/1999	5,907,075	Subramaniam et al.			
	215.	06/08/1999	5,910,301	Farr et al.			
	216.	06/29/1999	5,915,378	Lloyd et al.			
	217.	07/06/1999	5,918,595	Olsson			
	218.	07/27/1999	5,928,520	Haumesser			
	219.	07/27/1999	5,929,093	Pang et al.			
	220.	08/10/1999	5,934,272	Lloyd et al.			
	221.	08/10/1999	5,935,604	Illum			
	222.	08/17/1999	5,938,117	Ivri			
	223.	08/17/1999	5,939,100	Albrechtsen et al.			
	224.	08/24/1999	5,941,240	Gonda et al.			
	225.	08/31/1999	5,944,012	Pera			
	226.	09/28/1999	5,957,124	Lloyd et al.			
	227.	10/05/1999	5,960,792	Lloyd et al.			
	228.	10/26/1999	5,970,973	Gonda et al.			
	229.	10/26/1999	5,971,951	Ruskewicz			
	230.	11/16/1999	5,985,309	Edwards et al.			
	231.	11/30/1999	5,993,805	Sutton et al.			
	232.	11/30/1999	5,993,805	Sutton et al.			
	233.	12/21/1999	6,004,970	O'Malley et al.			
	234.	12/28/1999	6,008,214	Kwon et al.			
	235.	12/28/1999	6,008,216	Chakrabarti et al.			
	236.	01/11/2000	6,013,050	Bellhouse et al.			
	237.	01/18/2000	6,014,969	Lloyd et al.			
	238.	01/18/2000	6,014,970	Ivri et al.			
	239.	03/28/2000	6,041,777	Faithfull et al.			
	240.	04/04/2000	6,044,777	Walsh			
	241.	04/11/2000	6,048,550	Chan et al.			
	242.	04/11/2000	6,048,857	Ellinwood, Jr. et al.			
	243.	04/18/2000	6,050,260	Daniell et al.			

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244.	04/18/2000	6,051,257	Kodas et al.			
245.	04/18/2000	6,051,566	Bianco			
246.	06/20/2000	Re. 36,744	Goldberg			
247.	07/18/2000	6,089,857	Matsuura et al.			
248.	07/18/2000	6,090,403	Block et al.			
249.	08/01/2000	6,095,134	Sievers et al.			
250.	08/01/2000	6,095,153	Kessler et al.			
251.	08/08/2000	6,098,620	Lloyd et al.			
252.	08/15/2000	6,102,036	Slutsky et al.			
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254.	09/12/2000	6,117,866	Bondinell et al.			
255.	10/03/2000	6,125,853	Susa et al.			
256.	10/03/2000	6,126,919	Stefely et al.			
257.	10/17/2000	6,131,566	Ashurst et al.			
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259.	10/17/2000	6,133,327	Kimura et al.			
260.	10/24/2000	6,135,369	Prendergast et al.			
261.	10/24/2000	6,136,295	Edwards et al.			
262.	10/31/2000	6,138,683	Hersh et al.			
263.	10/31/2000	6,140,323	Ellinwood, Jr. et al.			
264.	11/07/2000	6,143,277	Ashurst et al.			
265.	11/07/2000	6,143,746	Daugan et al.			
266.	11/21/2000	6,149,892	Britto			
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269.	06/05/2001	6,241,969	Saidi et al.			
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271.	07/24/2001	6,263,872	Schuster et al.			
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273.	09/04/2001	6,284,287	Sarlikiotis et al.			
274.	10/09/2001	6,299,900	Reed et al.			

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275.	10/23/2001	6,306,431	Zhang et al.			
276.	11/06/2001	6,313,176	Ellinwood, Jr. et al.			
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282.	09/03/2002	6,443,152	Lockhart et al.			
283.	10/08/2002	6,461,591	Keller et al.			
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288.	10/14/2003	6,632,047	Vinegar			
289.	09/06/2001	US 2001/0020147	Staniforth et al.			
290.	03/14/2002	US 2002/0031480	Pearl, et al.			
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302.	01/27/2004	10/766,149	Rabinowitz et al.			
303.	01/27/2004	10/766,279	Rabinowitz et al.			

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304.	01/27/2004	10/766,566	Rabinowitz et al.			
305.	01/27/2004	10/766,574	Rabinowitz et al.			
306.	01/27/2004	10/766,634	Rabinowitz et al.			
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333.	08/04/2004	10/912,462	Hale et al.			
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336.	11/13/2003	US 2003/0209240	Hale et al.			
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338.	01/09/2003	US 2003/0005924	Rabinowitz et al.			
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341.	01/09/2003	US 2003/0007934	Rabinowitz et al.			
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343.	01/16/2003	US 2003/0012738	Rabinowitz et al.			
344.	1/16/2003	US 2003/0012740	Rabinowitz et al.			
345.	01/23/2003	US 2003/0015189	Rabinowitz et al.			
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357.	02/20/2003	US 2003/0035776	Hodges et al.			
358.	05/15/2003	US 2003/0091511	Rabinowitz et al.			
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365.	06/03/2004	US 2004/0105818	Hale et al.			

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	366.	06/03/2004	US 2004/0105819	Hale et al.				
	367.	07/01/2004	US 2004/0126326	Rabinowitz et al.				
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	369.	07/01/2004	US 2004/0126328	Rabinowitz et al.				
	370.	07/01/2004	US 2004/0126329	Rabinowitz et al.				
	371.	07/01/2004	US 2004/0127481	Rabinowitz et al.				
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	378.	02/23/1994	CN 1082365	China			Abstract only
	379.	03/18/1998	CN 1176075	China			Abstract
	380.	11/11/1981	EP 0 039 369	Europe			
	381.	07/13/1988	EP 0 274 431	Europe			
	382.	08/10/1988	EP 0 277 519	Europe			
	383.	03/14/1990	EP 0 358 114	Europe			
	384.	06/05/1991	EP 0 430 559	Europe			
	385.	07/01/1992	EP 0 492 485	Europe			
	386.	07/20/1994	EP 0 606 486	Europe			
	387.	02/13/1996	EP 0 734 719	Europe			
	388.	12/29/1999	EP 0 967 214	Europe			
	389.	03/07/2001	EP 1 080 720	Europe			
	390.	02/06/2002	EP 1 177 793	Europe			

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392.	01/29/1938	GB 502 761	Great Britain			
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397.	05/21/1947	FR 921 852 A	France			
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401.	03/22/1990	WO 90/02737	WIPO			
402.	07/12/1990	WO 90/07333	WIPO			
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427.	10/03/1996	WO 96/30068	WIPO			
428.	10/10/1996	WO 96/31198	WIPO			
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431.	05/22/1997	WO 97/17948	WIPO			
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448.	05/20/1999	WO 99/24433	WIPO			
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